

FUND OBJECTIVE

The Global X Future Analytics Tech ETF (AIQ) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Indxx Artificial Intelligence & Big Data Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.

ETF Category:
Growth – Disruptive Technology

As of 4/1/20

Index Provider: INDXX



KEY FEATURES



High Growth Potential



Unconstrained Approach



ETF Efficiency

INDXX ARTIFICIAL INTELLIGENCE & BIG DATA INDEX

SELECTION

- The Indxx Artificial Intelligence and Big Data Index is designed to track the performance of exchange-listed companies listed or incorporated in developed markets that are positioned to benefit from the further development and utilization of artificial intelligence technology in their products and services, as well as to companies that provide hardware which facilitates the use of artificial intelligence for the analysis of big data.
- Indxx has identified the following two categories as providing exposure to the underlying theme:

Artificial Intelligence Developers

- Artificial Intelligence Developers** – Companies that have developed internal artificial intelligence capabilities (organically or through acquisition) and are applying artificial intelligence technology directly in their products and services. Artificial intelligence applications include but are not limited to language/image processing and recognition, automated communications, threat detection, recommendation generation, and other predictive analytics.
- Artificial Intelligence-as-a-Service (“AlaaS”) for Big Data Applications** - Companies that provide artificial intelligence capabilities to their customers as a service. Companies in this segment typically offer cloud-based platforms that allow their customers to apply artificial intelligence techniques to big data without the need for a direct investment in their own artificial intelligence-related infrastructure or capabilities.

Artificial Intelligence and Big Data Analytics Hardware

- Artificial Intelligence Hardware** - Companies that produce semiconductors, memory storage and other hardware that is utilized for artificial intelligence applications. This currently includes, but is not limited to, companies that produce graphics processing units (GPUs), application-specific integrated circuit (“ASIC”) chips, field-programmable gate array (“FPGA”) chips, and all-flash array storage.
- Quantum Computing** - Companies that are developing quantum computing technology. While currently in the process of being commercialized, quantum computing is expected to have significant potential for artificial intelligence and big data applications.
- Utilizes an extensive research process and a scoring framework to identify and score companies with exposure to the two categories described above.
- The index selects the top 60 companies in the Artificial Intelligence Developers category, and the top 25 companies from the Artificial Intelligence and Big Data Analytics Hardware category.

SELECTION POOL

- Primary listing or incorporation in: United States, Canada, Taiwan, South Korea, Austria, Belgium, Denmark, Finland, France, Germany, Ireland, Israel, Italy, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland, United Kingdom, Australia, Hong Kong, Japan, New Zealand, or Singapore. In addition, American depositary receipts and global depositary receipt of companies incorporated or with primary listing in China are eligible for inclusion.
- Minimum market cap of \$2 billion for companies in Category 1 and \$500 million for companies in Category 2
- Average Daily Volume greater than \$2 million over the last 6 months for both categories.

WEIGHTING SCHEME

- The index is modified market cap weighted.
 - A single security limit of 3% and weight floor of 0.3% are applied.
 - Securities that score below a minimum exposure threshold according to the scoring framework are capped at a 1% weight.

REBALANCES/REVIEWS

The index reconstitution process described above is conducted annually with the newly reconstituted portfolio becoming effective on the last trading day of January, with a semi-annual rebalance portfolio taking effect on the last trading day of July.



For more information on the Index, please visit Indxx's website.

*For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

Carefully consider the fund's investment objectives, risks, and charges and expenses. This and other information can be found in the fund's full or summary prospectus, which may be obtained by visiting globalxetfs.com. Please read the prospectus carefully before investing.

Investing involves risk, including the possible loss of principal. The investable universe of companies in which AIQ may invest may be limited. The companies in which the Fund invests may be subject to rapid changes in technology, intense competition, rapid obsolescence of products and services, loss of intellectual property protections, evolving industry standards and frequent new product productions, and changes in business cycles and government regulation.

International investments may involve risk of capital loss from unfavorable fluctuation in currency values, from differences in generally accepted accounting principles or from social, economic or political instability in other nations. Emerging markets involve heightened risks related to the same factors as well as increased volatility and lower trading volume. AIQ is non-diversified.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Indices are unmanaged and do not include the effect of fees, expenses or sales charges. One cannot invest directly in an index.

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