**FUND OBJECTIVE**

The Global X Clean Water ETF (AQWA) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Solactive Global Clean Water Industry Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.

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**SOLACTIVE GLOBAL ENERGY CLEAN WATER INDUSTRY INDEX**

**SELECTION**

- The index is designed to track the performance of companies that have business operations in the provision of clean water.
- Companies are only eligible for inclusion if they generate at least 50% of their revenues from clean water industry related business operations, which may include companies involved in:
  - Industrial water treatment, recycling (including water reclamation), purification and conservation
  - Water storage, transportation, metering, and distribution infrastructure
  - Production of household and commercial water purifier and heating products
  - Provision of consulting services identifying and implementing water efficiency strategies at the corporate and municipal levels
- The maximum number of constituents is 40.
- Companies must be compliant with the UN Global Compact principles for inclusion.

**SELECTION POOL**

- Eligible companies must have:
  - Share Class Market Capitalization of $200 million if they are not current index constituents and $160 million if they are current constituents.
  - Average Daily Value Traded of at least $2 million over the last six months if they are not current index constituents and $1.4 million for existing constituents.
  - Primary listing in a Developed Market or Emerging Market (excluding India. China A-shares are eligible for inclusion provided they are available through the Stock Connect program.
  - Free Float percentage of total shares outstanding of at least 10% or a minimum free float market capitalization of $1 billion.

**WEIGHTING SCHEME**

- Index components are weighted according to their Free Float Market Capitalization:
  - Each component is subject to maximum weight of 8% and minimum weight of 0.3%.
  - The aggregate weight of companies with weight above 4.5% cannot exceed 40% of the index weight. The remaining companies are capped at 4.5%.
REBALANCING/REVIEWS

- The index follows a semi-annual rebalancing schedule. Rebalances are made on the second Friday of March and September each year.
- The index follows a semi-annual review schedule to check for compliance with the UN Global Compact principles. Adjustments are made on the second Friday of June and December every year.

For more information on the Index, please visit Solactive’s website.

For the complete and current index methodology please refer to the index provider’s website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion. Carefully consider the fund’s investment objectives, risks, and charges and expenses. This and other information can be found in the fund’s full or summary prospectus, which may be obtained by visiting globalxetfs.com. Please read the prospectus carefully before investing.

Investing involves risk, including the possible loss of principal. The investable universe of companies in which AQWA may invest may be limited. International investments may involve risk of capital loss from unfavorable fluctuation in currency values, from differences in generally accepted accounting principles or from social, economic or political instability in other nations. Emerging markets involve heightened risks related to the same factors as well as increased volatility and lower trading volume. Clean Water Companies typically face intense competition, short product lifecycles and potentially rapid product obsolescence. Clean Water Companies are subject to significant regulation regarding the usage, treatment, and distribution of water. Clean Water Companies may also be adversely affected by the impact of global climate change on the available supply of clean water reserves. AQWA is nondiversified.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Indices are unmanaged and do not include the effect of fees, expenses or sales charges. One cannot invest directly in an index.

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