FUND OBJECTIVE

The Global X Clean Water ETF (AQWA) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Solactive Global Clean Water Industry Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.

SOLACTIVE GLOBAL CLEAN WATER INDUSTRY INDEX

SELECTION POOL

- The index is designed to track performance of companies that have business operations in the provision of clean water
- Using keywords that describe the index theme, the algorithm identifies and ranks companies that have or are expected to have a significant exposure to the provision of products and/or services that contribute to the clean water industry
- Listed in one of the countries that are part of the Developed and Emerging Markets (excluding India). China A-shares are eligible for inclusion provided they are available through the Stock Connect program
- Generate at least 50% of their revenues from clean water industry related business operations
- Companies must be compliant with the UN Global Compact principles
- Share Class Market Capitalization of at least $200 million for new additions, and $160 million for existing constituents
- Average Daily Value Traded in the last 6 months of at least $2 million for new additions, and $1.4 million for existing constituents
- Free float percentage of total shares outstanding of at least 10% or a minimum free float market capitalization of $1 billion
- The 40 highest ranking companies are determined as the final index components
- The index may include companies involved in:
  i. Industrial water treatment, recycling (including water reclamation), purification, and conservation
  ii. Water storage, transportation, metering, and distribution infrastructure
  iii. Production of household and commercial water purifier and heating products
  iv. Provision of consulting services identifying and implementing water efficiency strategies at the corporate and municipal levels

WEIGHTING SCHEME

- The index is free float market cap-weighted with a single security cap of 8%, and a single security floor of 0.3%
- The aggregate weight of companies weighted above 4.5% is capped at 40%. The remaining companies are capped at 4.5%

REBALANCING

- The index follows a semi-annual rebalance schedule. Adjustments are made on the second Friday in March and September each year
- The index also follows a semi-annual review, where companies that are not compliant with the UN Global Compact principles are excluded. Adjustments are made on the second Friday in June and December each year
For more information on the Index, please visit Solactive’s website.

*For the complete and current index methodology please refer to the index provider’s website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

Carefully consider the fund’s investment objectives, risks, and charges and expenses. This and other information can be found in the fund’s full or summary prospectus, which may be obtained by visiting globalxetfs.com. Please read the prospectus carefully before investing.

Investing involves risk, including the possible loss of principal. The investable universe of companies in which AQWA may invest may be limited. International investments may involve risk of capital loss from unfavorable fluctuation in currency values, from differences in generally accepted accounting principles or from social, economic or political instability in other nations. Emerging markets involve heightened risks related to the same factors as well as increased volatility and lower trading volume. Clean Water Companies typically face intense competition, short product lifecycles and potentially rapid product obsolescence. Clean Water Companies are subject to significant regulation regarding the usage, treatment, and distribution of water. Clean Water Companies may also be adversely affected by the impact of global climate change on the available supply of clean water reserves. AQWA is nondiversified.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Indices are unmanaged and do not include the effect of fees, expenses or sales charges. One cannot invest directly in an index.

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