AUSF

Global X Adaptive U.S. Factor ETF

REASONS TO CONSIDER

Outperformance Potential
AUSF seeks to outperform traditional market capitalization weighted indexes by allocating across three factors – minimum volatility, value, and momentum – that have historically demonstrated advantages compared to broad benchmark indexes.

Dynamic Factor Allocation
AUSF either allocates to two factors with a 50% / 50% weighting, or all three factors with a weighting of 40% / 40% / 20% depending on the trailing returns of each factor.

Tax Efficient
Dynamically allocating across multiple factors within one ETF can result in tax efficiencies compared to buying and selling individual factor ETFs.

KEY INFORMATION

| Inception Date | 08/24/2018 |
| Underlying Index | Adaptive Wealth Strategies U.S. Factor Index |
| Number of Holdings | 184 |
| Assets Under Management | $192.89 mil |
| Total Expense Ratio | 0.27% |
| Distribution Frequency | Quarterly |

ETF Category: Core
As of 10/31/2023

KEY INFORMATION

| NAV | 0.67% |
| Market Price | 0.67% |
| Index | -0.66% |

Performance (%)

<table>
<thead>
<tr>
<th>1M</th>
<th>YTD</th>
<th>1Y</th>
<th>3Y</th>
<th>5Y</th>
<th>Since Inception</th>
</tr>
</thead>
<tbody>
<tr>
<td>NAV</td>
<td>-0.67%</td>
<td>2.14%</td>
<td>1.26%</td>
<td>14.73%</td>
<td>8.88%</td>
</tr>
<tr>
<td>Market Price</td>
<td>-0.67%</td>
<td>2.20%</td>
<td>1.23%</td>
<td>14.87%</td>
<td>8.86%</td>
</tr>
<tr>
<td>Index</td>
<td>-0.66%</td>
<td>2.40%</td>
<td>1.59%</td>
<td>15.05%</td>
<td>9.22%</td>
</tr>
</tbody>
</table>

The performance data quoted represents past performance and does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor’s shares, when sold or redeemed, may be worth more or less than their original cost. Current performance may be higher or lower than the performance quoted. High short-term performance, when observed, is unusual and investors should not expect such performance to be repeated. Returns for periods greater than one year are annualized. Click here for standard performance as of the most recent quarter-end.

SECTOR BREAKDOWN (%)

- Financials: 24.66%
- Information Technology: 21.63%
- Industrials: 13.22%
- Consumer Discretionary: 10.52%
- Communication Services: 8.75%
- Materials: 7.20%
- Health Care: 5.62%
- Energy: 5.07%
- Consumer Staples: 2.29%
- Real Estate: 1.03%

* Materials 0.65%, Real Estate 0.27%

All Sector, Industry and Geographic breakdowns, where provided, are based on equity positions held by the ETF and exclude cash, currencies, and other holdings.

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ADAPTWLTH WEALTH STRATEGIES U.S. FACTOR INDEX

The Adaptive Wealth Strategies U.S. Factor Index is designed to dynamically allocate across three sub-indices that provide exposure to U.S. equities that exhibit characteristics of one of three primary factors: value, momentum and low volatility. Each factor is represented by a sub-index that is derived from the Solactive U.S. Large & Mid Cap Index, which is designed to measure the 1,000 largest companies, by free float market capitalization, that are exchange-listed in the United States.

SOLACTIVE U.S. LARGE & MID CAP VALUE INDEX

This index is designed to measure the performance of the 100 stocks in the Solactive U.S. Large & Mid Cap Index that exhibit the greatest exposure to the value factor.

SOLACTIVE U.S. LARGE & MID CAP MOMENTUM INDEX

This index is designed to measure the performance of the 100 stocks in the Solactive U.S. Large & Mid Cap Index that exhibit the highest degree of relative performance.

SOLACTIVE U.S. LARGE & MID CAP MINIMUM DOWNSIDE VOLATILITY INDEX

This index is designed to measure the performance of the 100 stocks in the Solactive U.S. Large & Mid Cap Index that exhibit the lowest degree of downside volatility.

Investing involves risk, including the possible loss of principal. There is no guarantee that the Fund will achieve a high degree of correlation to the Underlying Index and therefore achieve its investment objective. Market disruptions and regulatory restrictions could have an adverse effect on the Fund’s ability to adjust its exposure to the required levels in order to track the Underlying Index. Performance of companies in the financial sector may be adversely impacted by many factors, including, among others, government regulations, economic conditions, credit rating downgrades, changes in interest rates, and decreased liquidity in credit markets. AUSF is non-diversified. The information provided is not intended for trading purposes, and should not be considered investment advice.