FUND OBJECTIVE

The Global X CleanTech ETF (CTEC) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Indxx Global CleanTech Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.

INDXX GLOBAL CLEANTECH INDEX

SELECTION

- The index is designed to track the performance of companies that develop technology or equipment that enables the production of energy from renewable sources, efficient utilization of energy and reduction of negative environmental influences.
- Companies are only eligible for inclusion if they generate at least 50% of their revenues from the activities listed below and will be considered as a 'Pure-Play' CleanTech company:
  - Renewable energy production
  - Residential and commercial energy efficiency
  - Smart grid implementation
  - Lithium-ion batteries and/or fuel cells
  - Prevention or amelioration of the negative environmental effects of pollution
- The maximum number of constituents is 40.

SELECTION POOL

- Eligible companies must have:
  - Minimum Total Market Capitalization of $500 million if they are not current index constituents and $400 million if they are current constituents.
  - Average Daily Turnover of at least $2 million over the last six months if they are not current index constituents and $1.4 million for existing constituents.
  - Listing in a Developed or Emerging Market, excluding India.
  - Traded on 90% of the eligible trading days in the last 6 months.
  - Free Float percentage of total shares outstanding of at least 10% of shares outstanding or minimum free float market capitalization of $1 billion.

WEIGHTING SCHEME

- Index components are weighted according to Security-level Market Capitalization:
  - Each component is subject to maximum weight of 6% and minimum weight of 0.3%.
  - The aggregate weight of companies with weight above 5% cannot exceed 40% of the index weight. The remaining companies are capped at 4.5%.
REBALANCING/REVIEWS

- The index follows a semi-annual reconstitution and rebalancing schedule. Rebalances are made at the close of the third Friday of March and September each year.

For more information on the Index, please visit Indxx’s website.