

### FUND OBJECTIVE

The Global X CleanTech ETF (CTEC) seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Indxx Global CleanTech Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.

ETF Category:  
Growth - Disruptive Growth

As of 10/29/20

Index Provider: INDXX



### KEY FEATURES



High Growth Potential



Unconstrained Approach



ETF Efficiency

## INDXX GLOBAL CLEANTECH INDEX

### SELECTION POOL

- The Indxx Global CleanTech Index is designed to track the performance of companies that develop technology or equipment that enables the production of energy from renewable sources, efficient utilization of energy and reduction of negative environmental influences
- The top 40 companies ranked by market capitalization are included in the index
- Listed either in a Developed or in an Emerging Market, excluding India
- Total market capitalization of at least \$500 million for new additions, and \$400 million for existing constituents
- Average Daily Value Traded in the last 6 months of at least \$2 million for new additions, and \$1.4 million for existing constituents
- Free float percentage of total shares outstanding of at least 10% or a minimum free float market capitalization of \$1 billion
- The security must have traded on 90% of the eligible trading days in the last 6 months. In case a security does not have a trading history of 6 months (only IPOs), such a security must have started trading at least 3 months before the start of the Reconstitution/Rebalancing Process and should have traded on 90% of the eligible trading days for the past 3 months
- The index may include companies involved in the development of technology/equipment relating to:
  - renewable energy production,
  - residential and commercial energy efficiency,
  - smart grid implementation,
  - lithium-ion batteries and/or fuel cells, and/or
  - preventing or ameliorating the negative environmental effects of pollution
- Generate at least 50% of their revenues from activities mentioned above

### WEIGHTING SCHEME

- The index is market cap-weighted with a single security cap of 6%, and a single security floor of 0.3%
- The aggregate weight of all the constituents with a weight greater than 5% is capped at 40%. All remaining securities are capped at 4.5%

### REBALANCING

- The index follows a semi-annual rebalance schedule. Adjustments are made on the third Friday of March and September



*For more information on the Index, please visit Indxx's website.*

\*For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

***Carefully consider the fund's investment objectives, risks, and charges and expenses. This and other information can be found in the fund's full or summary prospectus, which may be obtained by visiting [globalxetfs.com](http://globalxetfs.com). Please read the prospectus carefully before investing.***

Investing involves risk, including the possible loss of principal. The investable universe of companies in which CTEC may invest may be limited. CleanTech Companies typically face intense competition, short product lifecycles and potentially rapid product obsolescence. These companies may be significantly affected by fluctuations in energy prices and in the supply and demand of renewable energy, tax incentives, subsidies and other governmental regulations and policies. International investments may involve risk of capital loss from unfavorable fluctuation in currency values, from differences in generally accepted accounting principles or from social, economic or political instability in other nations. CTEC is non-diversified.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Indices are unmanaged and do not include the effect of fees, expenses or sales charges. One cannot invest directly in an index.

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