

California Residents Privacy Notice

CCPA Rights

The California Consumer Privacy Act (CCPA) provides specific privacy rights to California residents, including the right to receive a privacy notice, the right to know what personal information we have collected about you during the past 12 months, the right to know what categories of personal information we have shared with unaffiliated third parties, the right to opt out of having your personal information sold, and the right (with certain exceptions) to request deletion of your personal information that is covered by the CCPA. More information about how to exercise your rights is provided below. We collect information that identifies, relates to, describes, is reasonably capable of being associated with, or could reasonably be linked, directly or indirectly, with a particular consumer or household (personal information). As used in this notice, personal information does not include aggregate or deidentified information or publicly available information from government records.

For information that Global X collects that is subject to the CCPA¹, this notice describes the categories of information that we collect from California residents generally, the sources of the information, the purposes for which we use the information, and the categories of third parties to whom we disclose the information for business purposes. Global X does not sell personal information. As described below, Global X may share personal information with certain service providers. We may also disclose personal information to third parties for business purposes as permitted by CCPA and based on your consent, such as if you enroll in a continuing education course or if you explicitly accept our use of third-party advertising cookies, as described in our Cookie Notice.

Category and sources of personal information	Sample data elements	Purpose for collecting and sharing the personal information	Categories of unaffiliated parties to whom we disclose this personal information for a business purpose
<p>Contact information</p> <p>We collect this type of information from:</p> <ul style="list-style-type: none"> • You • Unaffiliated third parties that provide access to publicly available information, consumer data, data analytics and/or market research services 	<p>Data elements in this category include:</p> <ul style="list-style-type: none"> • Full name • Mailing address • Email address • Phone number(s) 	<p>We use this information to identify and communicate with you, including:</p> <ul style="list-style-type: none"> • To engage in marketing communications, send surveys and invitations • To personalize our communications and provide product or service information • To provide access to continuing education courses • For our Everyday Business Purposes* 	<p>We may disclose this information to:</p> <ul style="list-style-type: none"> • The U.S. Postal Service and other couriers • Address verification providers • Social media companies that use the information only to identify which investors use their platform(s) so that we can deliver ads to you on the platform(s) • Unaffiliated parties to process continuing education course credit • Other unaffiliated parties (including government agencies) as required by law, such as pursuant to legally binding subpoenas, court orders, and similar instruments

¹ There are exceptions and exemptions to the CCPA, such as information protected under federal law through the Gramm-Leach-Bliley Act, that may limit your ability to exercise CCPA rights for certain types of personal information and certain kinds of relationships between a consumer and Global X. For your security, we will not return personal information outside the scope of the CCPA in response to an access request, except as otherwise required by law.

Category and sources of personal information	Sample data elements	Purpose for collecting and sharing the personal information	Categories of unaffiliated parties to whom we disclose this personal information for a business purpose
<p>Unique identifiers</p> <p>We maintain logon and similar online credentials to facilitate your interactions with us.</p> <p>We also associate information with you using unique identifiers collected from your devices or browsers.</p> <p>We generate this type of information internally and collect it from advertising technology providers that place cookies containing unique IDs on your devices (generally, we are not able or permitted to identify you based on this information).</p>	<p>Data elements in this category include:</p> <ul style="list-style-type: none"> • System identifiers (for example, user names or online credentials) • Device identifier • Advertising ID <p>For security purposes, we will not disclose these identifiers in response to an access request under the CCPA.</p>	<p>We use this information:</p> <ul style="list-style-type: none"> • For recordkeeping and reporting • For metrics and analytics • To track your use of our website, including for ad delivery and personalization • For our Everyday Business Purposes 	<p>We may disclose this information to:</p> <ul style="list-style-type: none"> • Information technology and security service providers • Fraud prevention, detection, and mitigation providers • Lawyers, auditors, consultants, and others who provide professional services to Global X • Other unaffiliated parties (including government agencies) as required by law
<p>Relationship information</p> <p>We collect this type of information from:</p> <ul style="list-style-type: none"> • You • Unaffiliated third parties that provide access to publicly available information, consumer data, data analytics and/or market research services <p>We may also infer information about you based on information you have given us and on your past interactions with us and other companies. See Inferred and derived information below.</p>	<p>Data elements in this category include:</p> <ul style="list-style-type: none"> • Personal characteristics and preferences, such as your age, marital and family status, and languages spoken • Data from public social media profiles, such as Facebook, Twitter, LinkedIn, and similar platforms • Education information • Professional information • Hobbies and interests 	<p>We use this information:</p> <ul style="list-style-type: none"> • To better understand you and to understand investors generally • To design products, services, and programs that may be of interest to investors • To identify prospective investors • For internal business purposes, such as quality control, training, and analytics • For our Everyday Business Purposes 	<p>We may disclose this information to service providers and to:</p> <ul style="list-style-type: none"> • Lawyers, auditors, consultants, and others who provide professional services to Global X • Other unaffiliated parties (including government agencies) as required by law

Category and sources of personal information	Sample data elements	Purpose for collecting and sharing the personal information	Categories of unaffiliated parties to whom we disclose this personal information for a business purpose
<p>Inferred and derived information</p> <p>We create inferred and derived information by analyzing our relationship information.</p> <p>We also collect this type of information from unaffiliated third parties that provide access to publicly available information, consumer data, data analytics and/or market research services.</p>	<p>Data elements in this category include:</p> <ul style="list-style-type: none"> • Inferences drawn about you from your personal information, such as your preferences, financial products and services that may interest you, and your investing patterns and behaviors 	<p>We combine inferred and derived information with other relationship information and use this information:</p> <ul style="list-style-type: none"> • To better understand you and to understand investors generally • To design products, services, and programs that may be of interest to investors • To identify prospective investors • For internal business purposes, such as quality control, training, and analytics • For our Everyday Business Purposes 	<p>We may disclose this information to:</p> <ul style="list-style-type: none"> • Lawyers, auditors, consultants, and others who provide professional services to Global X • Other unaffiliated parties (including government agencies) as required by law
<p>Online and technical information</p> <p>We collect this type of information from:</p> <ul style="list-style-type: none"> • You and from your devices when you interact with our website (for example, when you visit our website, our server logs record your IP address and other information) • Automatically, via technologies such as cookies and web beacons, when you visit our website • Service providers, including technology security services and advertising technology providers 	<p>Data elements in this category include:</p> <ul style="list-style-type: none"> • IP address • Device identifiers or other persistent identifiers • Online user name • Encrypted password • Device characteristics (such as browser information) • Web server logs • Activity log records • Browsing data and search history • First-party cookies • Third-party cookies • Web beacons, clear GIFs, and pixel tags 	<p>We use this information:</p> <ul style="list-style-type: none"> • For system administration and technology management, including optimizing our website • For information security and cybersecurity purposes, including detecting threats • For recordkeeping, including logs and records maintained • To better understand investors and to enhance our relationship information • For online targeting and advertising purposes • For our Everyday Business Purposes 	<p>We may disclose this information to:</p> <ul style="list-style-type: none"> • Information technology and security providers, including companies such as network security services that retain information on malware threats detected • Fraud prevention, detection, and mitigation service providers • Unaffiliated advertising providers • Lawyers, auditors, consultants, and others who provide professional services to Global X • Other unaffiliated parties (including government agencies) as required by law

Category and sources of personal information	Sample data elements	Purpose for collecting and sharing the personal information	Categories of unaffiliated parties to whom we disclose this personal information for a business purpose
<p>Audio-visual information</p> <p>We collect this type of information from:</p> <ul style="list-style-type: none"> • You • Automatically, such as when we record calls to our call center 	<p>Data elements in this category include:</p> <ul style="list-style-type: none"> • Call center recordings and call monitoring records • Voicemails 	<p>We use this information:</p> <ul style="list-style-type: none"> • For internal business purposes, such as call recordings used for training, coaching, or quality control • For our Everyday Business Purposes 	<p>We may disclose this information to:</p> <ul style="list-style-type: none"> • Service providers who assist with our information technology and security programs • Lawyers, auditors, consultants, and others who provide professional services to Global X • Other unaffiliated parties (including government agencies) as required by law
<p>Geolocation data</p> <p>We collect this type of information from:</p> <ul style="list-style-type: none"> • You, we also determine your general geographic location automatically from your IP address when you visit our website • Unaffiliated third parties that provide access to publicly available information, consumer data, data analytics and/or market research services 	<p>Data elements in this category include:</p> <ul style="list-style-type: none"> • Your general geographic location (for example, city, state, and zip code) 	<p>We use this information:</p> <ul style="list-style-type: none"> • For information security and fraud prevention • For online targeting and advertising purposes • For our Everyday Business Purposes 	<p>We may disclose this information to:</p> <ul style="list-style-type: none"> • Information technology and security service providers • Fraud prevention, detection, and mitigation service providers • Lawyers, auditors, consultants, and others who provide professional services to Global X • Other unaffiliated parties (including government agencies) as required by law

Category and sources of personal information	Sample data elements	Purpose for collecting and sharing the personal information	Categories of unaffiliated parties to whom we disclose this personal information for a business purpose
<p>Compliance data We collect this type of information from:</p> <ul style="list-style-type: none"> You Service providers, including companies that help us conduct internal investigations or assist us with identity verification 	<p>Data elements in this category include:</p> <ul style="list-style-type: none"> Compliance program data and other records maintained to demonstrate compliance with applicable laws Records of privacy and security incidents involving personal information, including any security breach notifications 	<p>We use this information:</p> <ul style="list-style-type: none"> To comply with and demonstrate compliance with applicable laws For legal matters, such as litigation and regulatory matters For our Everyday Business Purposes 	<p>We may disclose this information to:</p> <ul style="list-style-type: none"> Lawyers, auditors, consultants, and others who provide professional services to Global X Other unaffiliated parties (including government agencies) as required by law
<p>Professional or employment-related information We collect this type of information from:</p> <ul style="list-style-type: none"> You Unaffiliated third parties that provide access to publicly available information, consumer data, data analytics and/or market research services 	<p>Data elements in this category include:</p> <ul style="list-style-type: none"> Job title Employer name and industry Professional Licenses Date of hire 	<p>We use this information:</p> <ul style="list-style-type: none"> To establish and maintain our relationship with you For recordkeeping and compliance For our Everyday Business Purposes 	<p>We may disclose this information to:</p> <ul style="list-style-type: none"> Lawyers, auditors, consultants and others who provide professional services to Global X Other unaffiliated parties (including government agencies) as required by law

- * Everyday Business Purposes encompasses the Business Purposes (as defined in the CCPA) and the following related purposes for which personal information may be used:
- To provide the information, product or service requested by the individual or as reasonably expected given the context in which the personal information was collected (such as providing product and service information, preference management, and dispute resolution);
 - For identity and credential management, including identity verification and authentication, and system and technology administration;
 - To protect the security and integrity of systems, networks, applications and data, including detecting, analyzing and resolving security threats, and collaborating with cybersecurity centers, consortia and law enforcement about imminent threats;
 - For fraud detection and prevention;
 - For legal and regulatory compliance, including all uses and disclosures of personal information that are required by law or reasonably needed for compliance with our policies and procedures, such as: anti-money laundering programs, security and incident response programs, intellectual property protection programs, and corporate ethics and compliance hotlines;
 - For corporate audit, analysis and reporting;
 - To enforce our contracts and to protect against injury, theft, legal liability, fraud or abuse, and to protect people or property, including physical security programs;

- To de-identify personal information or create aggregated datasets, such as for consolidating reporting, research or analytics;
- To make back-up copies for business continuity and disaster recovery purposes; and
- For corporate governance, including mergers, acquisitions and divestitures.

How to Exercise Your Rights

If you are a California resident, you may request that we disclose certain information to you about our collection and use of your personal information over the past 12 months. You may make a verifiable consumer request for access only twice within a 12-month period. The verifiable consumer request must: (a) provide sufficient information that allows us to reasonably verify that you are the person about whom we collected personal information or an authorized agent; and (b) describe your request with sufficient detail that allows us to properly understand, evaluate, and respond to it. Once we receive and confirm your verifiable consumer request, we will disclose the following to you (unless an exception applies):

- The categories of personal information we collected about you.
- The categories of sources for the personal information we collected about you.
- Our business or commercial purpose for collecting that personal information.
- The categories of third parties with whom we share that personal information.
- The specific pieces of personal information we collected about you.

You also have the right to request that we delete the personal information we collected about you and retained, subject to certain exceptions. Once we receive and confirm your verifiable consumer request, we will delete or deidentify your personal information from our records, unless an exception applies. We may deny your deletion request if retaining the personal information is necessary for our internal business purposes or otherwise permitted by CCPA (such as for fraud prevention or legal compliance). In these situations, we will retain your information in accordance with our records retention program and securely delete it at the end of the retention period.

To exercise the above described rights, please contact us at:

- Visit: <https://www.globalxetfs.com/privacy>
- Call us: 1-888-493-8631
- Email us: info@globalxetfs.com

Only you or a person you authorize to act for you may make a verifiable consumer request related to your personal information. If you are exercising access or deletion rights on behalf of another person, you will be required to provide documentation authorizing you to act as agent with us.

We will do our best to respond to a verifiable consumer request within 45 days of its receipt.

We will not discriminate against you for exercising any of your CCPA rights.

We reserve the right to amend this notice at our discretion and at any time. When we make changes to it, we will inform you through a notice on our website. If you have any questions relating to this notice or concerns about how we have handled your personal information, please email us at info@globalxetfs.com.

Effective: January 2020