



FUND OBJECTIVE

The Global X Lithium & Battery Tech ETF seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Solactive Global Lithium Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.

KEY FEATURES



Efficient Access



Thematic Exposure

SOLACTIVE GLOBAL LITHIUM INDEX

SELECTION

- The index is designed to track the performance of companies that are active in lithium mining, exploration or a closely related activity, and/or production of lithium batteries
- Companies in the Selection Pool are ranked according to their Free Float Market Capitalization expressed in USD
- The company with the largest Free Float Market Capitalization is assigned rank number 1, the company with the second largest Free Float Market Capitalization rank number 2, etc.
- The companies with the highest ranks are then chosen as Index Components
- The minimum number of Index Components is 20 and the maximum number of Index Components is 40
- The number of Index Components is capped to 30% for listings on Shanghai Connect (SSE) and Shenzhen Connect (SZSE)

SELECTION POOL

Companies that are:

- Listed on a regulated stock exchange in the form of shares tradable for foreign investors without restrictions, including stocks listed on the China Stock Connect: Shanghai and Shenzhen
- Business operations in lithium mining or production of lithium batteries in which significant revenues are generated or expected to be generated either from a relative (i.e. in terms of the company's total revenues) or absolute (i.e. in terms of the absolute size of the revenues, "non pure play company") point of view
- Free Float Market Cap of at least \$50 million for new additions, and \$25 million for existing constituents
- Notional Average Daily Traded Value in the last 3 months of at least \$200,000 for new additions, and \$100,000 for existing constituents

WEIGHTING AT SELECTION/REBALANCING

- Each Index Component is weighted proportionally according to its Free Float Market Cap
- The Percentage Weight of an Index Component which is a Mining Company is capped at 20%
- The Percentage Weight of an Index Component which is a Battery Company is capped at 4.75%
- The collective Percentage Weight of all Index Components with a Percentage Weight exceeding 4.75% is capped at 44.5%
- The excess weight is allocated proportionally to all Index Components whose Percentage Weight is not capped

REBALANCES/REVIEWS

- The index follows an annual reconstitution and rebalance schedule. Adjustments are made on the last business day in October each year
- The index also undergoes a quarterly continuous listing standards review on the last business day in January, April, July, and October



For more information on the Index, please visit Solactive's website.

*For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

Carefully consider the fund's investment objectives, risks, and charges and expenses. This and other information can be found in the fund's full or summary prospectus, which may be obtained by visiting globalxetfs.com. Please read the prospectus carefully before investing.

Investing involves risk, including the possible loss of principal. International investing may involve risk of capital loss from unfavorable fluctuations in currency values, from differences in generally accepted accounting principles, or from economic or political instability in other nations. Emerging markets involve heightened risks related to the same factors, as well as increased volatility and lower trading volume. Narrowly focused investments may be subject to higher volatility. There are additional risks associated with investing in lithium and the lithium mining industry.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Indices are unmanaged and do not include the effect of fees, expenses or sales charges. One cannot invest directly in an index.

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